



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/989,630         | 11/20/2001          | Francis Ford Coppola  | 01-374                 |

Alison J. Baldwin  
McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 S. Wacker Drive  
Chicago, IL 60606



CONFIRMATION NO. 9977

## FORMALITIES LETTER



\*OC00000007150590\*

Date Mailed: 12/04/2001

COPY OF PAPERS  
ORIGINALLY FILED

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-374)

PATENT

IN THE APPLICATION OF:

Francis Ford Coppola

Serial No. 09/989,630

Filed: November 20, 2001

Title: Disc Holder Adapted for Attachment  
to a Bottle



Examiner:

To Be Assigned

Group Art Unit:

To Be Assigned

TRANSMITTAL LETTER

COPY OF PAPERS  
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Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a. Response to Notice to File Corrected Application Papers;
- b. Formal Drawings (10 sheets);
- c. Copy of Notice to File Corrected Application Papers; and
- d. Return Receipt Postcard.

2. With respect to additional fees:

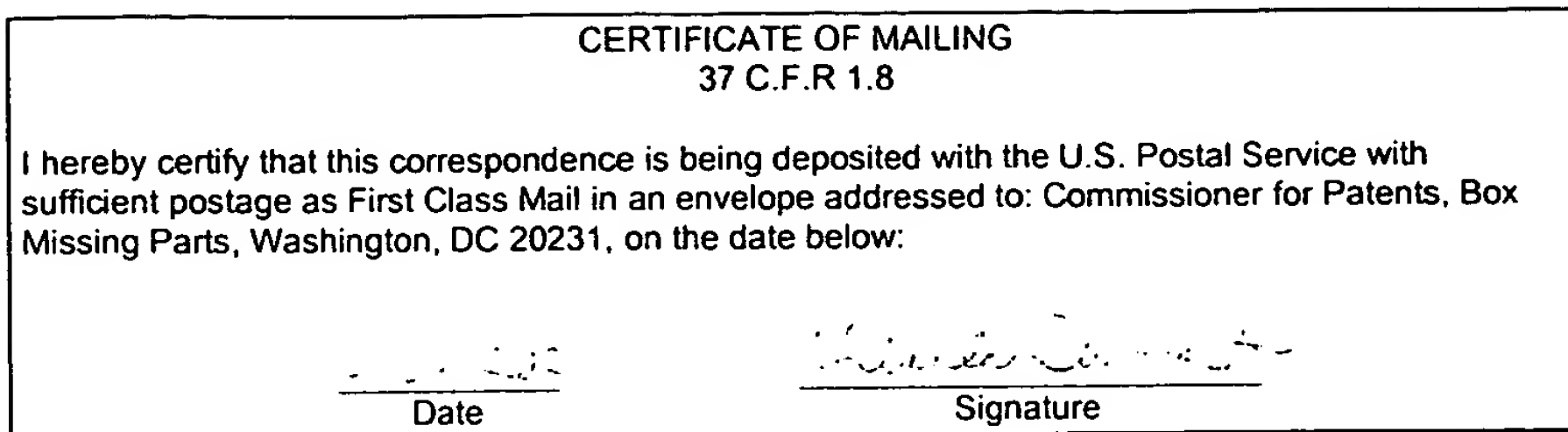
☐ Check in the amount of \$\_\_\_\_\_.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.  
A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this 4<sup>th</sup> day of January, 2002.

Respectfully submitted,

By: Alison J. Baldwin  
Alison J. Baldwin  
Reg. No. 48,968



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-374)

## Francis Ford Coppola

Serial No. 09/989,630

Filed: November 20, 2001

Title: Disc Holder Adapted for Attachment to a Bottle

| Examiner: | To Be Assigned |
|-----------|----------------|
|-----------|----------------|

Group Art Unit: To Be Assigned

RESPONSE TO 37 C.F.R. § 1.84 NOTICE

**BOX MISSING PART**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers of Application Under 37 C.F.R. § 1.84(g), dated December 4, 2001, there are enclosed herewith:

- (a) Ten (10) sheets of formal drawings to replace the formal papers which were rejected for improper margins; and
- (b) A copy of Notice to File Corrected Application Papers-Filing Date Granted.

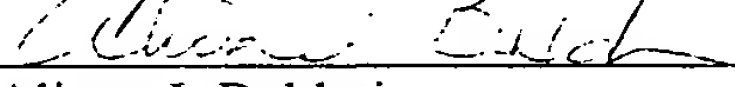
Applicants believe that these drawings overcome the objections by the Draftsperson 37 CFR § 1.84(g). Applicants understand that no additional fees are required. However, if the Patent Office determines that any comparison fee or other fees are required, the Commissioner is to charge these fees which may required, or credit overpayment, to McDonnell Boehnen Hulbert & Berghoff, Account No. 13-2490.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: 1/4/02

By:   
Alison J. Baldwin  
Reg. No. 48,968